IN THE DISTRICT COURT OF CANADIAN COUNTY STATE OF OKLAHOMA

| | STATE C | OF OKLAHOMA | FILED MARIE HIRST COURT CLERK CANADIAN COUNTY, OKLAHOMA |
|--------------|--------------------|---------------|---|
| STATE OF OF | (LAHOMA, |) | DEC 19 2018 |
| | PLAINTIFF, |) | BY |
| vs. | |) | DEPUTY |
| Ryan T. Tate | | | · 7 |
| |) | Case No. CF-2 | 2017-387 |
| |) DEFENDANTS.) | | |

PLEA AGREEMENT

That the defendant is currently charged in Canadian County District Court case No. CF-2017-387, as follows:

| Count No. | Charge | <u>O.S.A.</u> | Level of Crime |
|-----------|----------------------------------|----------------|----------------|
| COUNT 1: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 2: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 3: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 4: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 5: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 6: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 7: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 8: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 9: | EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |

Defendant's Initials

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| COUNT 10 |): ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
|-----------|-------------------------------------|----------------|---------------|
| COUNT 11 | : ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 12 | EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 13 | : EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 14 | : EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 15 | : EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 16 | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 17: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 18: | EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |
| COUNT 19: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 20: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 21: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 22: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 23: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 24: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 25: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 26: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 27: | EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |
| COUNT 28: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |



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| COUNT 29 | : EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
|-----------|-------------------------------------|----------------|---------------|
| COUNT 30 | : ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 31 | : EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |
| COUNT 32 | : EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |
| COUNT 33 | : EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 34 | ATTEMPTED EXTORTION | 21 O.S. § 1482 | (FELONY) |
| COUNT 35: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 36: | ATTEMPTED EXTORTION | 21 O.S. § 1482 | (FELONY) |
| COUNT 37: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 38: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 39: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 40: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 41: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 42: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 43: | CONSPIRACY | 21 O.S. § 421 | (FELONY) |
| COUNT 44: | PATTERN OF RACKETEERING ACTIVITY | 22 O.S. § 1403 | (FELONY) |

That it is jointly agreed by the Defendant and the Oklahoma Attorney General as follows:

1. That in exchange for defendant's waiver and plea, the State will amend Count 44 of the First Amended Information from, "Pattern of Racketeering Activity", a

Defendant's Initials

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violation of 22 O. S. § 1403, to "Engaging in a Pattern of Criminal Offences", a violation of 21 O. S. § 425;

2. That the defendant will waive his Preliminary Hearing, enter a plea of Guilty/No Contest to each Count of the First Amended Information as filed herein and amended pursuant to this Plea Agreement, and provide a factual basis for the alleged offense(s) and/or proffer and stipulate to the supporting affidavit;

3. That at the time of sentencing the parties will jointly recommend that the defendant be sentenced as follows:

COUNT 1:

FELONY EMBEZZLEMENT of more than \$25,000; in violation of 21 O.S. § 1451, the Defendant shall serve Ten (10) Years in the custody of the Oklahoma Department of Corrections;

COUNT 43:

CONSPIRACY;

in violation of 21 O.S. § 421, the Defendant shall serve Ten (10) Years in the custody of the Oklahoma Department of Corrections, CONSECUTIVE to the Defendant's sentence in Count 1 herein;

COUNT 2:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 3:

EMBEZZLEMENT of more than \$500 but less than \$1000;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 4:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 5:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 6:

ATTEMPTED EXTORTION BY THREAT;

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in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 7:

EMBEZZLEMENT of more than \$500 but less than \$1000;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 8:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 9:

EMBEZZLEMENT of more than \$500 but less than \$1000;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 10:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 11:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 12:

EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 13:

EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

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COUNT 14:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 15:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 16:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 17:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 18:

EMBEZZLEMENT of less than \$500;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 19:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 20:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 21:

ATTEMPTED EXTORTION BY THREAT;

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in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 22:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 23:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 24:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 25:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 26:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 27:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 28:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;



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COUNT 29:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 30:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 31:

EMBEZZLEMENT of less than \$500;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 32:

EMBEZZLEMENT of less than \$500;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 33:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 34:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 35:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 36:

ATTEMPTED EXTORTION BY THREAT;

Defendant's Initia

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in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 37:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 38:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 39:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 40:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 41:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 42:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 44:

ENGAGING IN A PATTERN OF CRIMINAL OFFENSES;

in violation of 21 O.S. § 425, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

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4.

A. That the Defendant agrees to be jointly and severally liable with his Co-Defendant, Richard R. Tate, Jr., for the payment of the Total Restitution Amount as agreed herein.

The Defendant agrees that the Total Restitution Amount as of December 17, 2018 is EIGHT HUNDRED TWENTY-FIVE THOUSAND EIGHT HUNDRED NINETY-FIVE and 22/100 DOLLARS (\$825,895.22), to be paid in the following manner, to-wit:

The Defendant will immediately, upon execution and filing of this Plea Agreement, cause to be paid from the trust funds of his attorneys the amount of ONE HUNDRED NINE THOUSAND and 00/100 DOLLARS (\$109,000.00) to the Tate Victim Restitution Account, c/o Oklahoma Attorney General Consumer Protection Unit. Subsequently, on the first day of February, 2019, and on the first day of each month thereafter, until the Total Restitution Amount is paid in full, the Defendant agrees he is jointly and severally responsible with Richard R. Tate, Jr., his Co-Defendant, to pay the amount of THREE THOUSAND and 00/100 DOLLARS (\$3,000.00) ("Monthly Restitution Payment") to the Tate Victim Restitution Account, c/o Oklahoma Attorney General Consumer Protection Unit.

B. That the Defendant agrees that the Total Restitution Amount balance, as of December 5, 2018, may be adjusted upward from time to time until July 1, 2019, if the Office of the Oklahoma Attorney General, in its sole judgement, determines that satisfactory documentation has been received which supports additional monetary loss by a victim or victims of the Defendant, between the date of this agreement and July 1, 2019. The Defendant and the Oklahoma Attorney General agree that the Total Restitution Amount balance as of July 1, 2019, will be the final Total Restitution Amount balance for the purposes of this Plea Agreement.

C. The Defendant further agrees to pay additional lump sum restitution payments from time to time, as the Defendant's and his Co-Defendant's finances justify based upon the following criteria, to-wit:

In addition to the Monthly Restitution Payment of \$3000.00 described in paragraph "A" above, the Defendant and his Co-Defendant are jointly and severally, required to pay to the Tate Victim Restitution Account, an "Additional Lump Sum Payment", on or before the 15th day of the month following any month, in which the Defendant's and his Co-Defendant's "Combined Monthly Gross Income" (as defined by 26 U.S. Code § 61) exceeded \$8,333.00. The "Additional Lump Sum Payment" will be equal to 50% of the amount the Defendant's and his Co-defendant's "Combined Monthly Gross Income", for the previous month, exceeded \$8,333.00. A copy of 26 U.S. Code § 61 is attached hereto as "Exhibit C".

D. The Defendant further agrees to provide the following financial information during the entire term of his probation, to-wit:

Monthly:

By the 15th of month, copies of these previous month documents:

a. The Defendant's monthly pay stubs, a reconciliation of all income derived from any flea market, auction or online auction services; and



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> b. The Defendant's monthly statements for every checking, savings, money market accounts, including but not limited to, Social Security statements, annuity statements, pension distribution statements, investment statements, court ordered award statement, severance statement, bonus or incentive statements or any other document of income statement, from whatever source derived, owned personally by the Defendant or by any entity which the Defendant has any interest in, whatsoever.

2. Annually:

By the 15th day of February:

a. Copies of all Defendant's W-2s and 1099s.

By the 1st day of May: a. Copies

Copies of the annual federal and state tax returns for Tate Publishing and Enterprises, LLC and Tate Music Group, LLC; and any other corporation, limited liability company, partnership or any other entity of any type or kind in which the Defendant owns any interest of any kind, equitable or otherwise;

By the 1st day of June:

a. Copies of the annual state and federal tax returns of the Defendant and his spouse, including all schedules and exhibits filed with the returns.

3. Ongoing during the probation term;

Copies of the address and real estate description for all real property owned, purchased or sold by the Defendant, including any interest in real property owned by any entity which the Defendant has an ownership interest in.

- 5. That the parties will jointly recommend the Defendant's prison sentences be suspended for a period of not less than Twenty (20) Years. Further, that the suspension of the Defendant's prison sentences be expressly conditional upon the Defendant's compliance with the Rules and Conditions of his Supervised Probation Order as attached hereto and made a part hereof as Exhibit A, which will be presented to the District Judge for approval at the Defendant's sentencing herein.
- 6. Further, that the suspension of the Defendant's prison sentences be expressly conditional upon the Defendant's compliance with the Summary of Restitution Payments as ordered, which is attached hereto and made a part hereof as Exhibit B, which will be presented to the District Judge for approval at the Defendant's sentencing herein.
- 7. That the Defendant's term of probation will be supervised by the Oklahoma Attorney General's Office Consumer Protection Unit staff. The Supervision of the Defendant's probation may be terminated upon payment in full of the restitution amounts set out herein.

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- 8. That in addition to the underlying prison and probation sentences, and the payment of restitution pursuant to the attached Exhibit B, the defendant shall be liable for court costs and booking fees;
- 9. That the Defendant agrees any and all contractual agreements between Tate Publishing authors and TMG artists are hereby terminated. Tate Publishing and TMG release all interests in, and rights to, publication or distribution of any previous respective authors' and artists' projects, including, but not limited to, manuscripts, notes, books, audiobooks, artworks, music, and videos, and/or any other type of property claimed by those previous respective authors and artists.
- 10. That the defendant agrees to immediately release and provide all computers and computer servers in the defendant's possession, which contain or may contain any files of previous respective authors and/or artists, to agents of the Oklahoma Attorney General's Office.
- 11. That the defendant should receive credit for all time served relative to this matter as allowed by law; and
- 12. That as a condition of this plea agreement the defendant herein waives his right to appeal his convictions and sentences herein.

Agreed and entered into this $\underline{19}$ day of $\underline{\bigcirc ec}$, 2018.

Submitted by:

John M. Settle, OBA #8086 Assistant Oklahoma Attorney General 313 N.E. 21st Street Oklahoma City, Oklahoma 73105

Approved by:

S. Thomas Adler, II, Esq. Atkins & Markoff Law Firm 9211 Lake Hefner Parkway, Suite 104 Oklahoma City, OK 73120

Dan Good, Esq., OBA # Atkins & Markoff Law Firm 9211 Lake Hefner Parkway, Suite 104 Oklahoma City, OK 73120

Attorneys for Defendant



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I, Ryan T. Tate, have read and discussed this agreement with my attorney. My attorney has advised me of my right to a jury trial and my right to appeal which must be filed within ten (10) days of my sentencing. My attorney has also advised me that pursuant to this Plea Agreement, I have agreed to waive my right to appeal the convictions and sentences herein.

I understand that this agreement is not binding on the court, and by signing below, I affirm that I have not been threatened or coerced, nor have any promises been made to me to enter a plea other than what is set forth above.

I further hereby swear and affirm that I have disclosed to the Office of the Attorney General each and every asset of any kind or nature, including any interest in real property or mineral or royalty interests of any kind, automobiles or vehicles of any kind, and all monetary accounts, held in my name, either individually or jointly, or in the name of Tate Publishing and Enterprises, LLC and/or Tate Music Group, LLC; and any other corporation, limited liability company, partnership or any other entity of any type or kind, in which I own any interest of any kind, equitable or otherwise. I further agree that the term monetary account, as used in this subsection, includes, but is not limited to, checking accounts, savings accounts, money market accounts, investment accounts, certificate of deposit accounts, retirement accounts, mutual fund accounts, bond accounts, and any other financial account of any kind held at any bank, savings and loan, credit union, firm or any other type of financial institution. Further, I hereby agree to disclose to the Office of the Attorney General any other monetary account that is opened in my name, either individually or jointly, at any point in time subsequent to the signing of this Agreement. I also hereby agree to provide the Office of the Attorney General fifteen (15) days prior notice of my intention to close of any monetary account held in my name, either individually or jointly, regardless as to whether that monetary account currently exists at the time of the execution of this Agreement or is opened in the future. I understand and agree that the failure to abide by any of the terms of this Agreement will amount to a breach of this Agreement and subject me to the possible revocation of my probation term herein.

RYANT TATE

STATE OF OKLAHOMA COUNTY OF UBSCRIBED AND SWORN to before me by Ryan T. Tate this dav of 2018. The Notary Public Commission Expires: Commission Number: Defendant's Initials

| 1 | 1 | EXHIBIT |
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IN THE DISTRICT COURT OF CANADIAN COUNTY, STATE OF OKLAHOMA SUPPLEMENTAL ORDER OF THE COURT

DEFENDANT: RYAN T. TATE

CASE NO. CF - 2017 - 387

DATE OF SENTENCE 12/19/13

RULES AND CONDITIONS OF SUPERVISED PROBATION THROUGH THE OKLAHOMA ATTORNEY GENERAL'S OFFICE

- I will report by the 1st day of every month by calling (405) 521-6232 as directed by the Oklahoma 1. Attorney General's Office.
- 2. I will not violate any city, state, federal, or tribal jurisdiction law and I will advise the Oklahoma Attorney General's Office within 72 hours of arrest by any law enforcement agency.
- I will file a written request seeking permission to leave the State of Oklahoma, for any purpose, no 3. less than 15 days prior to the date I plan to leave the State, with the Oklahoma Attorney General's Office, and I will not leave the State of Oklahoma, without the written consent of the Assistant Attorney General supervising my probation. THE SAME APPLIES TO LEAVING I will not illegally possess marijuana or any other illegal narcotic drug.
- 4.
- 5. I will not associate with convicted felons.
- I will not change my address without notifying the Oklahoma Attorney General. 6.
- I understand that I will be supervised unless released, in writing, by the Oklahoma Attorney General's 7. Office or by order of the Court. I understand that the supervision of my probation may be terminated upon payment in full of the restitution amounts set out herein.
- 8. I will attempt to maintain legal and gainful employment and supply written verification. I will notify the Oklahoma Attorney General's Office within 72 hours if I change employment.
- 9. I will promptly and truthfully answer all inquiries for the Oklahoma Attorney General's Office, or other law enforcement personnel. I will carry out all lawful instructions they give me.
- I agree to comply with all provisions of the Plea Agreement between me and the Office of Attorney 10. General which is filed herein
- 11. I agree to pay all court costs, fines, miscellaneous costs, and any other accruing costs in this case.
- I agree, jointly and severally with my Co-Defendant, Richard R. Tate, Jr., to pay on the first day of 12. January, 2019, and on the first day of each month thereafter, until the total restitution amount is paid in full, the amount of THREE THOUSAND and 00/100 DOLLARS (\$3,000.00) ("Monthly Restitution Payment") to the Tate Victim Restitution Account, c/o Oklahoma Attorney General Consumer Protection Unit. In addition to the Monthly Restitution Payment of \$3000.00; I also agree, jointly and severally with my Co-Defendant. Richard R. Tate, Jr., to pay to the Tate Victim Restitution Account, an Additional Lump Sum Payment, equal to 50% of the amount that our "Combined Monthly Gross Income", as defined by 26 U.S. Code § 61" ("Monthly Gross Income") exceeded \$8,333.00 for the previous month.
- I also agree to pay a monthly probation fee of \$40.00 in the form of a money order or cashier's check 13. made payable to the Oklahoma Attorney General's Office each month that I am under the supervision of the Oklahoma Attorney General's Office, with the first payment due on or before the first day of January, 2019.
- 14. I agree to submit a written completion report to the Oklahoma Attorney General's Office 45 days before the end of my probation period.
- I understand that certain violations of these Rules or any provision of my Plea Agreement filed herein 15. may result in the imposition of additional sanctions or revocation or acceleration of my sentence.

TYPE OF SENTENCE Suspended

SPECIAL CONDITIONS: (CHECK IF APPLICABLE)

_X__A. Pay restitution per separate schedule.

X_B. Complete Fifty hours of community service each calendar year through December 31, 2023.

X_C. I will not possess or consume illegal narcotics nor visit places where they are dispensed, used, or sold. I will not abuse alcohol or any drug or substance. I will not go to casinos or gambling establishments or participate in organized gambling activities.

Attorney for Defendant

Date Judge of the District Court

Assistant Attorney General

ATTN: Supervision Officer Office of the Oklahoma Attorney General 313 N.E. 21st Street Oklahoma City, OK 73105 405-522-3991

| ſ. | EXHIBIT | |
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IN THE DISTRICT COURT IN AND FOR CANADIAN COUNTY STATE OF OKLAHOMA

| STATE OF OKLAI | HOMA, | |) | 4 | | 5 8 |
|----------------|------------|---|-------------|-----|--------------|--------|
| VS. | PLAINTIFF, | |) | | | |
| RYAN T. TATE | | - |))) | Cas | e Nos. CF-20 | 17-387 |
| | DEFENDANT. | |) - | | | |

SUMMARY OF RESTITUTION PAYMENTS (TO BE ATTACHED TO AND MADE A PART OF THE SUMMARY OF FACTS)

The following is a schedule of restitution to be made by the defendant named here, and said defendants hereby agrees to make said restitution in accordance with the terms set out herein and imposed by the Court.

The Defendant states his full name, address and phone number are:

RYAN T. TATE,

LUMP-SUM PAYMENT

The above-named defendant, jointly and severally with his Co-Defendant, Richard R. Tate, Jr., is ordered to make a lump-sum down payment in the amount of ONE HUNDRED NINE THOUSAND and no/100 DOLLARS (\$109,000.00), to be paid on or before the day of December, 2018, to be applied toward the Current Total Restitution Amount herein on the 18th day of December, 2018, of EIGHT HUNDRED TWENTY-FIVE THOUSAND EIGHT HUNDRED NINETY-FIVE and 22/100 DOLLARS (\$825,895.22). It being expressly understood and approved by the Defendant that the "Current Total Restitution Amount" herein is subject to upward adjustment as new victims provide sufficient documentation of loss to support additional valid claims through July 1, 2019, at which time the "Current Total Restitution Amount" of that date will become the "Final Total Restitution Amount" which is not

State v. Ryan T. Tate, Summary of Restitution Payments - Page 1 | 4

subject to further adjustment. The sufficiency of the documentation provided by additional victims to support such additional victims claimed loss will be determined by the Office of the Oklahoma Attorney General, Consumer Protection Unit.

MONTHLY INSTALLMENT PAYMENTS

The above-named defendant is jointly and severally with his Co-Defendant, Richard R. Tate, Jr., ordered to make restitution by installments by paying the amount of not less than THREE THOUSAND and no/100 DOLLARS (\$3,000.00), each month, beginning the 1st day of January, 2019 and a similar payment of not less than THREE THOUSAND and no/100 DOLLARS (\$3,000.00), on or before the 1st day of each month thereafter, until the "Final Total Restitution Amount" as described above is satisfied. The Defendant is advised that with each restitution payment, he must add an extra \$1.00 payment as required by law.

ADDITIONAL LUMP SUM PAYMENTS

The Defendant further agrees to pay additional lump sum restitution payments from time to time, as the Defendant's and his Co-Defendant's finances justify, based upon the following criteria, to-wit:

In addition to the Monthly Restitution Payment of \$3000.00 described in paragraph "A" above, the Defendant and his Co-Defendant are jointly and severally, ordered to pay to the Tate Victim Restitution Account, an "Additional Lump Sum Payment", on or before the 15th day of the month following any month, in which the Defendant's and his Co-Defendant's "Combined Monthly Gross Income" (as defined by 26 U.S. Code § 61) exceeded \$8,333.00. The "Additional Lump Sum Payment" will be equal to 50% of the amount the Defendant's and his Co-defendant's "Combined Monthly Gross Income", for the previous month, exceeded \$8,333.00.

RESTITUTION PAYMENTS ARE TO BE MADE TO: Office of the Oklahoma Attorney General, Consumer Protection Unit, 313 NE 21st Street, Oklahoma City, OK 73105.

See attached Schedule of Recipients:

(Note additional Recipients may be added through July 1, 2019, pursuant to paragraph #4 of the Plea Agreement executed by the Defendants herein).

JUDGE OF THE DISTRICT

State v. Ryan T. Tate, Summary of Restlitution Payments - Page 2 4

Approved by: ORNEY GENERAL ASS ATTORNEY FOR DEFENDANT

I, the defendant in the case(s) listed above and my attorney have read the above and foregoing Summary of Restitution Payments, and state that it is a full and true statement, and that I approve this summary of restitution payments and do understand all parts thereof.

DEFENDANT

State v. Ryan T. Tate, Summary of Restitution Payments - Page 3 | 4

IN THE DISTRICT COURT OF CANADIAN COUNTY STATE OF OKLAHOMA MARIE HIRST COURT CLERK CANADIAN COUNTY, OKLAHOMA

| STATE OF OKLAHOMA, | DEC 1 9 2018 |
|------------------------|----------------------|
| PLAINTIFF, | BYDEPUTY |
| vs.) | |
| Richard R. Tate, Jr.) | Case No. CF-2017-388 |
|) DEFENDANTS.) | |

PLEA AGREEMENT

That the defendant is currently charged in Canadian County District Court case No. CF-2017-388, as follows:

| Count No. | Charge | <u>O.S.A.</u> | Level of Crime |
|-----------|----------------------------------|----------------|----------------|
| COUNT 1: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 2: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 3: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 4: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 5: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 6: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 7: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 8: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 9: | EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |

Defendant's Initials

FILED

Richard R. Tate, Jr., Plea Agreement Page 2 of 13

| COUNT 1 | 0: ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
|-----------|-------------------------------------|----------------|---------------|
| COUNT 1 | 1: ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 1 | 2: EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 1 | 3: EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 14 | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 15 | : EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 16 | EXATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 17 | : EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 18 | : EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |
| COUNT 19 | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 20: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 21: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 22: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 23: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 24: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 25: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 26: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 27: | EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |
| COUNT 28: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |

Defendant's Initials

Richard R. Tate, Jr., Plea Agreement Page 3 of 13

| COUNT 29 | : EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
|-----------|-------------------------------------|----------------|---------------|
| COUNT 30 | : ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 31 | EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |
| COUNT 32 | EMBEZZLEMENT | 21 O.S. § 1451 | (MISDEMEANOR) |
| COUNT 33: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 34: | ATTEMPTED EXTORTION | 21 O.S. § 1482 | (FELONY) |
| COUNT 35: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 36: | ATTEMPTED EXTORTION | 21 O.S. § 1482 | (FELONY) |
| COUNT 37: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 38: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 39: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 40: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 41: | EMBEZZLEMENT | 21 O.S. § 1451 | (FELONY) |
| COUNT 42: | ATTEMPTED EXTORTION BY THREAT | 21 O.S. § 1482 | (FELONY) |
| COUNT 43: | CONSPIRACY | 21 O.S. § 421 | (FELONY) |
| COUNT 44: | PATTERN OF RACKETEERING ACTIVITY | 22 O.S. § 1403 | (FELONY) |

That it is jointly agreed by the Defendant and the Oklahoma Attorney General as follows:

1. That in exchange for defendant's waiver and plea, the State will amend Count 44 of the First Amended Information from, "Pattern of Racketeering Activity", a

Defendant's Initials

Richard R. Tate, Jr., Plea Agreement Page 4 of 13

violation of 22 O. S. § 1403, to "Engaging in a Pattern of Criminal Offences", a violation of 21 O. S. § 425;

- 2. That the defendant will waive his Preliminary Hearing, enter a plea of Guilty/No Contest to each Count of the First Amended Information as filed herein and amended pursuant to this Plea Agreement, and provide a factual basis for the alleged offense(s) and/or proffer and stipulate to the supporting affidavit;
- 3. That at the time of sentencing the parties will jointly recommend that the defendant be sentenced as follows:

COUNT 1:

FELONY EMBEZZLEMENT of more than \$25,000;

in violation of 21 O.S. § 1451, the Defendant shall serve Ten (10) Years in the custody of the Oklahoma Department of Corrections;

COUNT 43:

CONSPIRACY;

in violation of 21 O.S. § 421, the Defendant shall serve Ten (10) Years in the custody of the Oklahoma Department of Corrections, CONSECUTIVE to the Defendant's sentence in Count 1 herein;

COUNT 2:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 3:

EMBEZZLEMENT of more than \$500 but less than \$1000;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 4:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 5:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 6: ATTEMPTED EXTORTION BY THREAT;

Defendant's Initials

Richard R. Tate, Jr., Plea Agreement Page 5 of 13

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 7:

EMBEZZLEMENT of more than \$500 but less than \$1000;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 8:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 9:

EMBEZZLEMENT of more than \$500 but less than \$1000;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 10:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 11:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 12:

EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 13:

EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

Defendant's Initials

Richard R. Tate, Jr., Plea Agreement Page 6 of 13

COUNT 14:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 15:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 16:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 17:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 18:

EMBEZZLEMENT of less than \$500;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 19:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 20:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein:

COUNT 21:

ATTEMPTED EXTORTION BY THREAT;

Defendant's Initials

Richard R. Tate, Jr., Plea Agreement Page 7 of 13

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 22:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 23:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 24:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 25:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 26:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

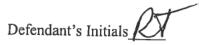
COUNT 27:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 28:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;



Richard R. Tate, Jr., Plea Agreement Page 8 of 13

COUNT 29:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 30:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 31:

EMBEZZLEMENT of less than \$500;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1) Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 32:

EMBEZZLEMENT of less than \$500;

in violation of 21 O.S. § 1482, the Defendant shall serve One (1). Year in the custody of the Canadian County Jail, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 33:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 34:

ATTEMPTED EXTORTION BY THREAT:

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 35:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 36:

ATTEMPTED EXTORTION BY THREAT;

Defendant's Initials

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 37:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 38:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 39:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 40:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 41:

FELONY EMBEZZLEMENT of more than \$1000 but less than \$25,000; in violation of 21 O.S. § 1482, the Defendant shall serve Five (5) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 42:

ATTEMPTED EXTORTION BY THREAT;

in violation of 21 O.S. § 1482, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

COUNT 44:

ENGAGING IN A PATTERN OF CRIMINAL OFFENSES; in violation of 21 O.S. § 425, the Defendant shall serve Two (2) Years in the custody of the Oklahoma Department of Corrections, Concurrent to the Defendant's sentence in Count 1 herein;

Defendant's Initials

Richard R. Tate, Jr., Plea Agreement Page 10 of 13

4. A. That the Defendant agrees to be jointly and severally liable with his Co-Defendant, Ryan T. Tate, for the payment of the Total Restitution Amount as agreed herein.

The Defendant agrees that the Total Restitution Amount as of December 17, 2018 is EIGHT HUNDRED TWENTY-FIVE THOUSAND EIGHT HUNDRED NINETY-FIVE and 22/100 DOLLARS (\$825,895.22), to be paid in the following manner, to-wit:

The Defendant will immediately, upon execution and filing of this Plea Agreement, cause to be paid from the trust funds of his attorneys the amount of ONE HUNDRED NINE THOUSAND and 00/100 DOLLARS (\$109,000.00) to the Tate Victim Restitution Account, c/o Oklahoma Attorney General Consumer Protection Unit. Subsequently, on the first day of February, 2019, and on the first day of each month thereafter, until the Total Restitution Amount is paid in full, the Defendant agrees he is jointly and severally responsible with his Co-Defendant, Ryan T. Tate, to pay the amount of THREE THOUSAND and 00/100 DOLLARS (\$3,000.00) ("Monthly Restitution Payment") to the Tate Victim Restitution Account, c/o Oklahoma Attorney General Consumer Protection Unit.

B. That the Defendant agrees that the Total Restitution Amount balance, as of December 17, 2018, may be adjusted upward from time to time until July 1, 2019, if the Office of the Oklahoma Attorney General, in its sole judgement, determines that satisfactory documentation has been received which supports additional monetary loss by a victim or victims of the Defendants, between the date of this agreement and July 1, 2019. The Defendant and the Oklahoma Attorney General agree that the Total Restitution Amount balance as of July 1, 2019, will be the final Total Restitution Amount balance for the purposes of this Plea Agreement.

C. The Defendant further agrees to pay additional lump sum restitution payments from time to time, as the Defendant's and his Co-Defendant's finances justify based upon the following criteria, to-wit:

In addition to the Monthly Restitution Payment of \$3000.00 described in paragraph "A" above, the Defendant and his Co-Defendant are jointly and severally, required to pay to the Tate Victim Restitution Account, an "Additional Lump Sum Payment", on or before the 15th day of the month following any month, in which the Defendant's and his Co-Defendant's "Combined Monthly Gross Income" (as defined by 26 U.S. Code § 61) exceeded \$8,333.00. The "Additional Lump Sum Payment" will be equal to 50% of the amount the Defendant's and his Co-defendant's "Combined Monthly Gross Income", for the previous month, exceeded \$8,333.00. A copy of 26 U.S. Code § 61 is attached hereto as "Exhibit C".

D. The Defendant further agrees to provide the following financial information during the entire term of his probation, to-wit:

1. Monthly:

By the 15th of month, copies of these previous month documents:

a. The Defendant's monthly pay stubs, a reconciliation of all income derived from any flea market, auction or online auction services; and



Richard R. Tate, Jr., Plea Agreement Page 11 of 13

> b. The Defendant's monthly statements for every checking, savings, money market accounts, including but not limited to, Social Security statements, annuity statements, pension distribution statements, investment statements, court ordered award statement, severance statement, bonus or incentive statements or any other document of income statement, from whatever source derived, owned personally by the Defendant or by any entity which the Defendant has any interest in, whatsoever.

2. Annually:

By the 15th day of February:

a. Copies of all Defendant's W-2s and 1099s.

By the 1st day of May:

a.

Copies of the annual federal and state tax returns for Tate Publishing and Enterprises, LLC and Tate Music Group, LLC; and any other corporation, limited liability company, partnership or any other entity of any type or kind in which the Defendant owns any interest of any kind, equitable or otherwise;

By the 1st day of June:

a.

Copies of the annual state and federal tax returns of the Defendant and his spouse, including all schedules and exhibits filed with the returns.

3. Ongoing during the probation term;

Copies of the address and real estate description for all real property owned, purchased or sold by the Defendant, including any interest in real property owned by any entity which the Defendant has an ownership interest in.

- 5. That the parties will jointly recommend the Defendant's prison sentences be suspended for a period of not less than Twenty (20) Years. Further, that the suspension of the Defendant's prison sentences be expressly conditional upon the Defendant's compliance with the Rules and Conditions of his Supervised Probation Order as attached hereto and made a part hereof as Exhibit A, which will be presented to the District Judge for approval at the Defendant's sentencing herein.
- 6. Further, that the suspension of the Defendant's prison sentences be expressly conditional upon the Defendant's compliance with the Summary of Restitution Payments as ordered, which is attached hereto and made a part hereof as Exhibit B, which will be presented to the District Judge for approval at the Defendant's sentencing herein.
- 7. That the Defendant's term of probation will be supervised by the Oklahoma Attorney General's Office Consumer Protection Unit staff. The Supervision of the Defendant's probation may be terminated upon payment in full of the restitution amounts set out herein.



Richard R. Tate, Jr., Plea Agreement Page 12 of 13

- 8. That in addition to the underlying prison and probation sentences, and the payment of restitution pursuant to the attached Exhibit B, the defendant shall be liable for court costs and booking fees;
- 9. That the Defendant agrees any and all contractual agreements between Tate Publishing authors and TMG artists are hereby terminated. Tate Publishing and TMG release all interests in, and rights to, publication or distribution of any previous respective authors' and artists' projects, including, but not limited to, manuscripts, notes, books, audiobooks, artworks, music, and videos, and/or any other type of property claimed by those previous respective authors and artists.
- 10. That the defendant agrees to immediately release and provide all computers and computer servers in the defendant's possession, which contain or may contain any files of previous respective authors and/or artists, to agents of the Oklahoma Attorney General's Office.
- 11. That the defendant should receive credit for all time served relative to this matter as allowed by law; and
- 12. That as a condition of this plea agreement the defendant herein waives his right to appeal his convictions and sentences herein.

Agreed and entered into this _/ f_ day of ____, 2018.

Submitted by: John M. Settle, OBA #8086

Chief Assistant Attorney General 313 N.E. 21st Street Oklahoma City, Oklahoma 73105

Approved by:

S. Thomas Adler, II, Esq. Atkins & Markoff Law Firm 9211 Lake Hefner Parkway, Suite 104 Oklahoma City, OK 73120

Dan Good, Esq., OBA#

Atkins & Markoff Law Firm 9211 Lake Hefner Parkway, Suite 104 Oklahoma City, OK 73120

Attorneys for Defendant



Richard R. Tate, Jr., Plea Agreement Page 13 of 13

I, Richard R. Tate, Jr., have read and discussed this agreement with my attorney. My attorney has advised me of my right to a jury trial and my right to appeal which must be filed within ten (10) days of my sentencing. My attorney has also advised me that pursuant to this Plea Agreement, I have agreed to waive my right to appeal the convictions and sentences herein.

I understand that this agreement is not binding on the court, and by signing below, I affirm that I have not been threatened or coerced, nor have any promises been made to me to enter a plea other than what is set forth above.

I further hereby swear and affirm that I have disclosed to the Office of the Attorney General each and every asset of any kind or nature, including any interest in real property or mineral or royalty interests of any kind, automobiles or vehicles of any kind, and all monetary accounts, held in my name, either individually or jointly, or in the name of Tate Publishing and Enterprises, LLC and/or Tate Music Group, LLC; and any other corporation, limited liability company, partnership or any other entity of any type or kind, in which I own any interest of any kind, equitable or otherwise. I further agree that the term monetary account, as used in this subsection, includes, but is not limited to, checking accounts, savings accounts, money market accounts, investment accounts, certificate of deposit accounts, retirement accounts, mutual fund accounts, bond accounts, and any other financial account of any kind held at any bank, savings and loan, credit union, firm or any other type of financial institution. Further, I hereby agree to disclose to the Office of the Attorney General any other monetary account that is opened in my name, either individually or jointly, at any point in time subsequent to the signing of this Agreement. I also hereby agree to provide the Office of the Attorney General fifteen (15) days prior notice of my intention to close any monetary account held in my name, either individually or jointly, regardless as to whether that monetary account currently exists at the time of the execution of this Agreement or is opened in the future. I understand and agree that the failure to abide by any of the terms of this Agreement will amount to a breach of this Agreement and subject me to the possible revocation of my probation term herein.

RICHARD R. TATE.

| STATE OF OKLAHOMA |) | |
|---|--|------|
| COUNTY OF OK Atterns |) ss) | |
| SUBSCRIBED AND SWOR 2018. | RN to before me by Richard R. Tate, Jr., this day of | 10 L |
| Commission Expires: Commission Number: | | |
| | Defendant's Initials | |

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IN THE DISTRICT COURT OF CANADIAN COUNTY, STATE OF OKLAHOMA SUPPLEMENTAL ORDER OF THE COURT

DEFENDANT: RICHARD R. TATE, JR.

CASE NO. CF-2017-388

DATE OF SENTENCE 12/19/18

TYPE OF SENTENCE Sugende

RULES AND CONDITIONS OF SUPERVISED PROBATION THROUGH THE OKLAHOMA ATTORNEY GENERAL'S OFFICE

- 1. I will report by the 1st day of every month by calling (405) 521-6232 as directed by the Oklahoma Attorney General's Office.
- 2. I will not violate any city, state, federal, or tribal jurisdiction law and I will advise the Oklahoma Attorney General's Office within 72 hours of arrest by any law enforcement agency.
- I will file a written request seeking permission to leave the State of Oklahoma, for any purpose, no 3. less than 15 days prior to the date I plan to leave the State, with the Oklahoma Attorney General's Office, and I will not leave the State of Oklahoma, without the written consent of the Assistant THE SAME Applies to Lotoing the er illegal narcotic drug. Attorney General supervising my probation.
- 4. I will not illegally possess marijuana or any other illegal narcotic drug.
- 5. I will not associate with convicted felons.
- 6. I will not change my address without notifying the Oklahoma Attorney General.
- 7. I understand that I will be supervised unless released, in writing, by the Oklahoma Attorney General's Office or by order of the Court. I understand that the supervision of my probation may be terminated upon payment in full of the restitution amounts set out herein.
- 8. I will attempt to maintain legal and gainful employment and supply written verification. I will notify the Oklahoma Attorney General's Office within 72 hours if I change employment.
- 9. I will promptly and truthfully answer all inquiries for the Oklahoma Attorney General's Office, or other law enforcement personnel. I will carry out all lawful instructions they give me.
- 10. I agree to comply with all provisions of the Plea Agreement between me and the Office of Attorney General which is filed herein
- I agree to pay all court costs, fines, miscellaneous costs, and any other accruing costs in this case. 11.
- 12. I agree, jointly and severally with my Co-Defendant, Ryan T. Tate, to pay on the first day of January. 2019, and on the first day of each month thereafter, until the total restitution amount is paid in full, the amount of THREE THOUSAND and 00/100 DOLLARS (\$3,000.00) ("Monthly Restitution Payment") to the Tate Victim Restitution Account, c/o Oklahoma Attorney General Consumer Protection Unit. In addition to the Monthly Restitution Payment of \$3000.00: I also agree, jointly and severally with my Co-Defendant, Ryan T. Tate, to pay to the Tate Victim Restitution Account, an Additional Lump Sum Payment, equal to 50% of the amount that our "Combined Monthly Gross Income", as defined by 26 U.S. Code § 61" ("Monthly Gross Income") exceeded \$8,333.00 for the previous month.
- 13. I also agree to pay a monthly probation fee of \$40.00 in the form of a money order or cashier's check made payable to the Oklahoma Attorney General's Office each month that I am under the supervision of the Oklahoma Attorney General's Office, with the first payment due on or before the first day of January, 2019.
- 14. I agree to submit a written completion report to the Oklahoma Attorney General's Office 45 days before the end of my probation period.
- 15. I understand that certain violations of these Rules or any provision of my Plea Agreement filed herein may result in the imposition of additional sanctions or revocation or acceleration of my sentence.

SPECIAL CONDITIONS: (CHECK IF APPLICABLE)

X___A. Pay restitution per separate schedule.

X_B. Complete Fifty hours of community service each calendar year through December 31, 2023.

_X_C. I will not possess or consume illegal narcotics nor visit places where they are dispensed, used, or sold. I will not abuse alcohol or any drug or substance. I will not go to casinos or gambling establishments or participate in organized gambling activities.

Defendant

Attorney or Defer istan t Attorney General

Date Judge of the District Court

ATTN: Supervision Officer Office of the Oklahoma Attorney General 313 N.E. 21st Street Oklahoma City, OK 73105 405-522-3991

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IN THE DISTRICT COURT IN AND FOR CANADIAN COUNTY STATE OF OKLAHOMA

| STATE OF OKLAHOMA, |) |
|----------------------|--|
| PLAINTIFF, vs. | |
| RICHARD R. TATE, JR. |))) Case Nos. CF-2017-388) |
| DEFENDANT. |) |

SUMMARY OF RESTITUTION PAYMENTS (TO BE ATTACHED TO AND MADE A PART OF THE SUMMARY OF FACTS)

The following is a schedule of restitution to be made by the defendant named here, and said defendants hereby agrees to make said restitution in accordance with the terms set out herein and imposed by the Court.

The Defendant states his full name, address and phone number are:

RICHARD R. TATE, JR.

LUMP-SUM PAYMENT

The above-named defendant, jointly and severally with his Co-Defendant, Ryan T. Tate, is ordered to make a lump-sum down payment in the amount of ONE HUNDRED NINE THOUSAND and no/100 DOLLARS (\$109,000.00), to be paid on or before the ______ day of December, 2018, to be applied toward the Current Total Restitution Amount herein on the 18th day of December, 2018, of EIGHT HUNDRED TWENTY-FIVE THOUSAND EIGHT HUNDRED NINETY-FIVE and 22/100 DOLLARS (\$825,895.22). It being expressly understood and approved by the Defendant that the "Current Total Restitution Amount" herein is subject to upward adjustment as new victims provide sufficient documentation of loss to support additional valid claims through July 1, 2019, at which time the "Current Total Restitution Amount" of that

State v. Richard R. Tate, Jr., Summary of Restitution Payments - Page 1

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date will become the "Final Total Restitution Amount" which is not subject to further adjustment. The sufficiency of the documentation provided by additional victims to support such additional victims claimed loss will be determined by the Office of the Oklahoma Attorney General, Consumer Protection Unit.

MONTHLY INSTALLMENT PAYMENTS

The above-named defendant is jointly and severally with his Co-Defendant, Ryan T. Tate, ordered to make restitution by installments by paying the amount of not less than THREE THOUSAND and no/100 DOLLARS (\$3,000.00), each month, beginning the 1st day of January, 2019 and a similar payment of not less than THREE THOUSAND and no/100 DOLLARS (\$3,000.00). on or before the 1st day of each month thereafter, until the "Final Total Restitution Amount" as described above is satisfied. The Defendant is advised that with each restitution payment, he must add an extra \$1.00 payment as required by law.

ADDITIONAL LUMP SUM PAYMENTS

The Defendant further agrees to pay additional lump sum restitution payments from time to time, as the Defendant's and his Co-Defendant's finances justify. based upon the following criteria, to-wit:

In addition to the Monthly Restitution Payment of \$3000.00 described in paragraph "A" above, the Defendant and his Co-Defendant are jointly and severally, ordered to pay to the Tate Victim Restitution Account, an "Additional Lump Sum Payment", on or before the 15th day of the month following any month, in which the Defendant's and his Co-Defendant's "Combined Monthly Gross Income" (as defined by 26 U.S. Code § 61) exceeded \$8,333.00. The "Additional Lump Sum Payment" will be equal to 50% of the amount the Defendant's and his Co-defendant's "Combined Monthly Gross Income", for the previous month, exceeded \$8,333.00.

RESTITUTION PAYMENTS ARE TO BE MADE TO: Office of the Oklahoma Attorney General, Consumer Protection Unit, 313 NE 21st Street, Oklahoma City, OK 73105.

See attached Schedule of Recipients:

(Note additional Recipients may be added through July 1, 2019, pursuant to paragraph #4 of the Plea Agreement executed by the Defendants herein).

WDGE OF THE DISTRICT COURT State v. Richard R. Tate, Jr., Summary of Restitution Payments - Page 2

Approved by:

ASSISTANT ATTORNEY GENERAL

DEFENDANT

I, the defendant in the case(s) listed above and my attorney have read the above and foregoing Summary of Restitution Payments, and state that it is a full and true statement, and that I approve this summary of restitution payments and do understand all parts thereof.

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DEFENDANT

State v. Richard R. Tate, Jr., Summary of Restitution Payments - Page 3